|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Roll No. |  |  |  |  |  |  |  |  |  |  |  |  |



 **PRESIDENCY UNIVERSITY**

  **Bengaluru**

|  |
| --- |
| **End - Term Examinations – JANUARY 2025** |
| **Date:** 08 – 01- 2025 **Time:** 09:30 am – 12:30 pm |

|  |  |
| --- | --- |
| **School:** School of Management-UG | **Program:** BBA(Fin) |
| **Course Code :** BBA3009 | **Course Name :** Financial Markets and Institutions |
| **Semester**: V | **Max Marks**: 100 | **Weightage**: 50% |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **CO - Levels** | **CO1** | **CO2** | **CO3** | **CO4** | **CO5** |
| **Marks** | **11** | **28** | **37** | **24** | **--** |

**Instructions:**

1. *Read all questions carefully and answer accordingly.*
2. *Do not write anything on the question paper other than roll number.*

**Part A**

|  |  |  |
| --- | --- | --- |
| **Answer ALL the Questions. Each question carries 2 marks. (10Q x 2M = 20M)** | **Bloom's Level**  | **CO** |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **1** | Briefly explain about Primary Markets. | **2 Marks** | **Remember** | **CO1** |
| **2** | List out any two features of GST. | **2 Marks** | **Remember** | **CO1** |
| **3** | Define FII | **2 Marks** | **Remember** | **CO2** |
| **4** | Name the oldest stock exchange in India. | **2 Marks** | **Remember** | **CO2** |
| **5** | Define the term Repo Rate. | **2 Marks** | **Remember** | **CO3** |
| **6** | Expand OTC | **2 Marks** | **Remember** | **CO3** |
| **7** | State two indices of stock markets. | **2 Marks** | **Remember** | **CO4** |
| **8** | Define the term commercial papers. | **2 Marks** | **Remember** | **CO4** |
| **9** | Define TISE. | **2 Marks** | **Remember** | **CO3** |
| **10** | What are T-bills? | **2 Marks** | **Remember** | **CO4** |

**Part B**

|  |  |  |
| --- | --- | --- |
| **Answer ALL the Questions. Each question carries 7 marks. (5Q x 7M = 35M)** | **Bloom's Level**  | **CO** |
| **11** | Explain the various components of the Indian Financial system | **7 Marks** | **Understand** | **CO1** |
|  | **Or** |
| **12** | Discuss the major instruments RBI uses to maintain price stability in the financial markets. | **7 Marks** | **Understand** | **CO2** |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **13** | SEBI is the backbone of Indian financial markets. Discuss. | **7 Marks** | **Understand** | **CO2** |
|  | **Or** |
| **14** | Explain the insolvency and bankruptcy code. Discuss the objectives of the insolvency and bankruptcy code. | **7 Marks** | **Understand** | **CO2** |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **15** | Ramesh, a recent finance graduate, is interning at an investment firm. His mentor assigns him to create a report on various instruments available in the capital market. Ravi knows that the capital market is crucial in mobilising funds and consists of instruments catering to investors and companies seeking to raise capital. However, he struggles to classify and explain these instruments clearly. Assume you are Ravi's financial advisor; how will you explain the various capital market instruments? | **7 Marks** | **Understand** | **CO4** |
|  | **Or** |
| **16** | Define equity shares. Elaborate on different types of equity shares. | **7 Marks** | **Understand** | **CO4** |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **17** | Stock exchanges are distinct due to their exclusive focus on trading stocks, bonds, and exchange-traded products (ETPs). Keeping this in mind, differentiate between the Bombay Stock Exchange and the National Stock Exchange | **7 Marks** | **Understand** | **CO3** |
|  | **Or** |
| **18** | Being listed on a reputed stock exchange benefits companies, investors and the public. Discuss. | **7 Marks** | **Understand** | **CO3** |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **19** | The Insurance Regulatory and Development Authority of India (IRDAI) is the governing body for insurance regulation in India. Examine the duties, power, and roles of an insurance regulator | **7 Marks** | **Understand** | **CO2** |
|  | **Or** |
| **20** |  Discuss the tools/ instruments of the money market. | **7 Marks** | **Understand** | **CO4** |

**Part C**

|  |  |  |
| --- | --- | --- |
| **Answer Any THREE Questions. Each question carries 15 marks. (3Q x 15M = 45M)** | **Bloom's Level**  | **CO** |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **21** | The Securities Exchange Board of India is considered an apex financial institution and an integral part of a nation's economic and financial system. Discuss the primary roles and functions of the Securities Exchange Board of India. | **15 Marks** | **Apply** | **CO2** |
| **22** | Explain the major factors affecting financial markets in India. Discuss with real-life examples. | **15 Marks** | **Apply** | **CO3** |
| **23** | Investors in India have been approaching the share market with prudence and reluctance, primarily due to the prevalence of numerous myths and misconceptions surrounding it. These misconceptions often deter prospective investors from entering the market, resulting in missed financial opportunities. Discuss the reasons why the stock market is essential for an economy.Explain the major myths attached to the stock market. | **15 Marks** | **Apply** | **CO4** |
| **24** | Discuss the reasons why the money market is essential for an economy. Explain the various roles adopted by the participants involved in money market operations in India. | **15 Marks** | **Apply** | **CO4** |