|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Roll No. |  |  |  |  |  |  |  |  |  |  |  |  |



 **PRESIDENCY UNIVERSITY**

  **Bengaluru**

|  |
| --- |
| **Ph.D. Course Work End Term Examinations – JAN-FEB 2025** |
| **Date:** 31- 01- 2025 **Time:** 09:30 am – 12:30 pm |

|  |  |
| --- | --- |
| **School:** SOM | **Program:** Ph.D. |
| **Course Code :**MGT849 | **Course Name :** Financial Markets and Services  |
| **Semester**: | **Max Marks**: 100 | **Weightage**: 50% |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **CO - Levels** | **CO1** | **CO2** | **CO3** | **CO4** |
| **Marks** | **20** | **30** | **20** | **30** |

**Instructions:**

1. *Read all questions carefully and answer accordingly.*
2. *Do not write anything on the question paper other than roll number.*

**Part A**

|  |
| --- |
| **Answer ALL the Questions. Each question carries 10 marks. 6Q x 10M=60Marks** |
| **1** | Discuss the high standards set by SEBI for mutual funds, portfolio managers, and other financial intermediaries in safeguarding investor interests. | **10 Marks** | **Applying** | **CO2** |
| **2** | Discuss the impact of emerging risks, such as cybersecurity threats and regulatory changes, on the risk management strategies of financial institutions. How can financial services firms adapt their risk management practices to address these evolving challenge | **10 Marks** | **Analyzing** | **CO3** |
| **3** | How do derivatives markets contribute to price discovery and the allocation of risk in the financial system? Discuss their impact on investor behavior and market stability. | **10 Marks** | **Applying** | **CO1** |
| **4** | Explain the concept of venture capital and its role in fostering innovation and entrepreneurship. Discuss the process of venture capital funding, from sourcing and selecting projects to exit strategies. | **10 Marks** | **Analyzing** | **CO4** |
| **5** | Examine the factors influencing the pricing of financial services such as loans, insurance, and investment products. Discuss the role of interest rates, risk factors, competitive pricing, and regulatory constraints in determining pricing strategies. | **10 Marks** | **Evaluating** | **CO3** |
| **6** | Analyze the role of credit ratings in determining the risk and return profiles of fixed-income securities. How can inaccurate or biased credit ratings lead to systemic risks and market instability? | **10 Marks** | **Applying** | **CO1** |

**Part B**

|  |
| --- |
| **Answer the Questions. Each question carries 20 marks 2Q x 20 = 40 Marks** |
| **7.** | **a.** | Discuss the impact of technological advancements, such as blockchain and AI, on the functioning of commodity markets. How are these technologies improving transparency, reducing fraud, and enhancing market efficiency in commodity trading?  | **20 Marks** | **Evaluating** | **CO2** |
|  |
| **8.** |  | Discuss the role of Non-Banking Financial Companies (NBFCs) in the Indian financial system. How do they differ from traditional banks in terms of regulatory framework, operations, and service offerings? Evaluate their contribution to financial inclusion and the challenges they face in the evolving financial landscape.  | **20 Marks** | **Analyzing** | **CO4** |

**\*\*\*\*\* BEST WISHES \*\*\*\*\***