



# PRESIDENCY UNIVERSITY

BENGALURU

Roll No.														
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## End - Term Examinations – MAY/ JUNE 2025

**Date:** 04-06-2025

**Time:** 01:00 pm – 04:00 pm

<b>School:</b> SOC	<b>Program:</b> B.COM	
<b>Course Code :</b> COM3047	<b>Course Name:</b> FINANCIAL MARKETS AND SERVICES	
<b>Semester:</b> IV	<b>Max Marks:</b> 100	<b>Weightage:</b> 50%

CO - Levels	C01	C02	C03	C04	
<b>Marks</b>	28	28	33	26	

Instructions:

- (i) Read all questions carefully and answer accordingly.
- (ii) Do not write anything on the question paper other than roll number.

### Part A

**Answer ALL the Questions. Each question carries 2 marks.**

**10Q x 2M=20M**

1.	Define money market.	2 Marks	L1	C01
2.	Mention two financial assets traded in the money market.	2 Marks	L1	C01
3.	Define merchant banking.	2 Marks	L1	C01
4.	Name any two regulatory bodies for financial services in India.	2 Marks	L1	C02
5.	State two functions of RBI.	2 Marks	L1	C02
6.	State the meaning of debt securitization.	2 Marks	L1	C02
7.	State two functions of venture capitalist.	2 Marks	L1	C03
8.	State two functions of CDSL.	2 Marks	L1	C03
9.	What is financial lease?	2 Marks	L1	C04
10.	State the meaning forfailing?	2 Marks	L1	C04

## Part B

Answer ALL the Questions. Each question carries 7 Marks.

Total Marks 35M

11.	a.	Explain the functioning of the primary market within the Indian financial system.	07 Marks	L2	CO1
Or					
12.	a.	Recollect the role of SEBI in secondary market.	07 Marks	L2	CO1
Or					
13.	a.	State the functions of stock exchanges.	07 Marks	L2	CO2
Or					
14.	a.	Briefly explain types of merchant Banking services.	07 Marks	L2	CO2
Or					
15.	a.	Elaborate fund based financial services.	07 Marks	L3	CO3
Or					
16.	a.	Discuss the SEBI guideline for investors and for the AMC regarding mutual fund investments.	07 Marks	L3	CO3
Or					
17.	a.	Give a detailed note on operations and benefits of dematerialization.	07 Marks	L2	CO3
Or					
18.	a.	Discuss the concept and process of debt securitization.	07 Marks	L2	CO3
Or					
19.	a.	Explain the stages of venture capital investment.	07 Marks	L2	CO4
Or					
20.	a.	State the meaning of insurance. Describe the types of insurance.	07 Marks	L2	CO4

## Part C

Answer any Three Questions. Each question carries 15 marks

3Q x 15M=45M

21.	a.	Explain the role of SEBI in capital markets. Also discuss the functions of Stock Exchanges.	15 Marks	L3	CO1
Or					
22.	a.	Explain the significance of financial services. Also discuss the features of financial services.	15 Marks	L2	CO2
Or					
23.	a.	Explain the process of credit rating. Elaborate the significance of credit rating for lenders and borrowers.	15 Marks	L2	CO3
Or					
24.	a.	Give a note on a) Factoring b) Discounting bills c) Credit rating	15 Marks	L2	CO4