



PRESIDENCY UNIVERSITY

BENGALURU

Roll No.													
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End - Term Examinations – MAY/ JUNE 2025

Date: 02-06-2025

Time: 01:00 pm – 04:00 pm

School: SOL	Program: B.COM(LLB)	
Course Code: BCL2016	Course Name: Corporate Governance and Business Ethics	
Semester: IV	Max Marks: 100	Weightage: 50%

CO - Levels	C01	C02	C03	C04	C05
Marks	20	20	20	20	20

Instructions:

- (i) Read all questions carefully and answer accordingly.
- (ii) Do not write anything on the question paper other than roll number.

Part A

Answer ALL the Questions. Each question carries 2marks.

10Q x 2M=20M

1.	What are the key features of the Anglo-American model of Corporate Governance?	2 Marks	L1	C01
2.	Briefly explain about OECD Framework.	2 Marks	L1	C01
3.	List out the Models of Corporate Governance	2 Marks	L1	C02
4.	Elaborate the “Shareholder Theory”	2 Marks	L1	C02
5.	List out the various Principles of Corporate Governance.	2 Marks	L1	C01
6.	What are the ethical issues in employer-employee relations? Provide two examples.	2 Marks	L1	C04
7.	Who is an ethical hacker? State the roles and responsibilities of an ethical hacker.	2 Marks	L1	C04
8.	“Corporate governance is of vital significance for the success of any organization”. Comment on the statement.	2 Marks	L1	C01
9.	What is the concept of ‘Let the buyer beware’ in corporate Governance?	2 Marks	L1	C01
10.	State the meaning of ‘Applied Ethics’.	2 Marks	L1	C01

Part B

Answer the Questions.

Total Marks 80M

11.	a.	Discuss the various ethical issues in Finance and Accounting. Also highlight their consequences in the company.	10 Marks	L2	CO 4
Or					
12.	a.	Compare the Anglo-American model of Corporate Governance with the Indian model. Highlight the key differences.	10 Marks	L3	CO 2
13.	a.	Apply Kohlberg's Six Stages of Moral Development to a business leader faced with a corporate ethical dilemma. Illustrate how decisions may differ at each stage with relevant examples.	10 Marks	L2	CO 3
Or					
14.	a.	Detail the various factors affecting the quality of Corporate Governance.	10 Marks	L2	CO 1
15.	a.	Demonstrate the role of ethical hackers in an organization. Use examples to show how they can prevent security breaches.	10 Marks	L2	CO 4
Or					
16.	a.	Explain the various principles of good Corporate Governance and how the companies will adopt the same.	10 Marks	L2	CO 1
17.	a.	Identify the different types of whistleblowing and illustrate a situation where whistleblowing becomes necessary in a business.	15 Marks	L2	CO 4
Or					
18.	a.	Describe the 'Social Contract Theory' as per Thomas Hobbes and John Locke.	15 Marks	L2	CO 4
19.	a.	Write a note on: a) Whistle Blowing b) Ethical Hacking c) OECD Principle	15 Marks	L2	CO 4
Or					
20.	a.	In 2023, Rex Airlines came under regulatory scrutiny when it was discovered that the company had misrepresented its financial position by classifying a government infrastructure grant as operating income and shifting routine expenses into capital accounts. These actions gave a misleading picture of the company's profitability. Employees, stakeholders, and investors were not informed about the real financial condition of the company. When the truth surfaced, it led to a loss of trust, a significant drop in employee morale, and a wave of resignations from key departments including finance and compliance. The situation raised serious concerns around corporate ethics, transparency, and accountability. As the CFO of the company, how will you explain the various ethical	15 Marks	L3	CO 5

		issues arising out of the organization's actions to your finance and audit teams?			
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21.	a.	What are the various Corporate Governance Theories available? Explain with a suitable model framework.	20 Marks	L3	CO 2
Or					
22.	a.	In 2023, Seagate Technology faced major penalties from the U.S. government for violating export control laws. Despite restrictions placed on selling products to a blacklisted Chinese tech company, Seagate continued shipments worth over \$1.1 billion, arguing that their interpretation of the law allowed it. However, regulatory authorities determined that Seagate knowingly bypassed the rules, resulting in a \$300 million fine — the largest penalty of its kind. This incident not only impacted the company's reputation but also raised ethical concerns about compliance, corporate governance, and accountability. Employees and stakeholders were left questioning the values upheld by leadership. As the Chief Ethics Officer, how will you explain the various ethical issues involved in this situation to your compliance and legal teams? Explain in detail.	20 Marks	L3	CO 5