



PRESIDENCY UNIVERSITY

BENGALURU

Roll No.													
----------	--	--	--	--	--	--	--	--	--	--	--	--	--

Mid - Term Examinations – November 2025

Date: 13-11- 2025

Time: 02:30pm – 04:00pm

School: SOM-PG	Program: MBA	
Course Code: MBA3006	Course Name: Financial Markets and Services	
Semester: III	Max Marks:50	Weightage:25%

CO - Levels	CO1	CO2	CO3	CO4	CO5
Marks	34	16	NA	NA	NA

Instructions:

- (i) Read all questions carefully and answer accordingly.
- (ii) Do not write anything on the question paper other than roll number.

Part- A

Answer ALL the Questions. Each question carries 3marks.

5Q x 3M=15 Marks

1	NovaWorks, a new startup wants to raise funds by issuing shares to the public for the first time. Through which market should they approach investors, and how does it contribute to economic development?	3 Marks	L1	CO1
2	IgnitionPoint company already listed on NSE wants to allow investors to trade its existing shares. Which market will facilitate this and why is it important?	3 Marks	L1	CO1
3	An investor is worried about fraud in stock trading. Which regulatory body ensures fair practices in stock markets and protects investors?	3 Marks	L1	CO1
4	The Government of India faces a temporary cash shortage for 91 days. Which money market instrument should it issue, and why?	3 Marks	L1	CO2
5	Liora, a textile exporter issues an order promising to pay his supplier after 60 days. Identify the instrument and explain its function.	3 Marks	L1	CO2

Part- B

Answer the Questions

2Qx10M = 20 Marks

6.	Luminary Labs Ltd., a mid-sized manufacturing company in India, plans to expand its business. For this purpose, it wants to raise funds	10 Marks	L3	CO1
----	---	----------	----	-----

	through equity shares and later allow investors to trade those shares. The company also wants to provide insurance to its employees and needs a stable financial environment to grow. Explain how the Indian Financial System (Primary & Secondary Markets, Regulators like RBI, SEBI, IRDA, and Stock Exchanges) will support Luminary Labs Ltd. in achieving its objectives.			
--	--	--	--	--

Or

7.	Mr. Arjun, a retail investor, is planning to invest in Indian financial markets. He wants to buy new company shares, trade in existing shares, ensure his funds are safe, and also take an insurance policy. Explain how the Primary Market, Secondary Market, RBI, SEBI, IRDAI, Stock Exchanges, and Financial Regulations together ensure his investment and financial security.	10 Marks	L3	CO1
-----------	--	---------------------	-----------	------------

8.	Mr. Ramesh, a small investor, is confused about where to invest his savings. He has some funds he wants to park safely for 90 days and some he wants to invest for the long term to earn ownership benefits. Explain how the Indian Money Market and the Indian Capital Market (along with their instruments) can help Ramesh achieve both short-term safety and long-term growth.	10 Marks	L3	CO2
-----------	--	---------------------	-----------	------------

Or

9.	Vertexon Textiles Ltd. requires funds for two purposes: 1. To meet urgent working capital needs for 6 months. 2. To raise long-term capital for expanding its factory. As a financial consultant, explain how the Indian Money Market and Capital Market along with their instruments can help Vertexon Ltd. achieve these objectives.	10 Marks	L3	CO2
-----------	---	---------------------	-----------	------------

Part- C

Answer the following questions

1Qx15M=15Marks

10.	MingleWave Infrastructure Ltd. plans to build a metro project in India requiring huge capital. To finance this, the company decides to issue shares and bonds, list them on stock exchanges, and also borrow from banks. At the same time, small investors are willing to invest but want safety, liquidity, and regulatory protection. Explain how the Indian Financial System (Financial Markets, Financial Instruments, Regulators like RBI, SEBI, IRDAI, Primary & Secondary Markets, and Stock Exchanges) works together to support both MingleWave Ltd. and the investors, and how this contributes to economic development.	15 Marks	L3	CO1
------------	--	---------------------	-----------	------------

******* BEST WISHES *******