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Bengaluru

Mid Term Examinations - October 2026

Date: 06/10/2026 **Time:** 11:45 AM - 01:15 PM

Course Code: COM3047 **Course Name:** Financial Markets and Services

Semester: Fifth Semester **Max. Marks:** 50 **Weightage:** 50%

CO - Levels	CO1	CO2
Marks	46	44

PART A: Answer Following Questions. 10 M

Q.N.	Questions	M	CO	BT
1	Define the term financial market.	2	CO1	BT1
2	State the meaning of commercial paper.	2	CO1	BT1
3	List any two features of the money market.	2	CO1	BT1
4	Name any two major stock exchanges in India.	2	CO2	BT1
5	State one common myth associated with stock market investment.	2	CO2	BT1

PART B : Answer Any 1 Following Questions. 10 M

Q.N.	Questions	M	CO	BT
6	Illustrate the major functions performed by financial markets in an economy and indicate how these functions facilitate the flow of funds between savers and borrowers.	10	CO1	BT2
7	Distinguish between the Money Market and the Capital Market based on their maturity period, nature of funds, and major participants, giving suitable examples of financial instruments traded in each market.	10	CO1	BT2

PART C: Answer Any 1 Following Questions. 10 M

Q.N.	Questions	M	CO	BT
8	Summarize the major characteristics of financial markets and explain how these characteristics contribute to the efficient mobilisation and allocation of financial resources in an economy.	10	CO1	BT2
9	Outline the different methods through which companies can raise funds from the primary market and illustrate the major features of each method with suitable examples.	10	CO1	BT2

PART D: Answer Any 1 Following Questions. 10 M

Q.N.	Questions	M	CO	BT
10	Illustrate the common myths associated with stock market investment and contrast them with the actual realities of investing in the stock market.	10	CO2	BT2
11	Distinguish between the National Stock Exchange (NSE) and the Bombay Stock Exchange (BSE) by highlighting their major features, functions, and key differences in the Indian securities market.	10	CO2	BT2

PTO (Please Turn Over)

PART E: Answer Any 1 Following Questions. 10 M				
Q.N.	**Questions**	**M**	**CO**	**BT**
12	Interpret the role of financial markets in promoting economic development and explain how they contribute to the efficient mobilisation and allocation of capital within an economy.	10	CO2	BT2
13	Summarize the respective roles of banks, NBFCs, mutual funds, and retail investors in financial markets and explain how their activities contribute to the effective functioning of the financial system.	10	CO2	BT2