



**PRESIDENCY UNIVERSITY
BENGALURU**

SCHOOL OF LAW

MAKEUP EXAMINATION – JAN 2023

Course Code: LAW305

Course Name: Securities Law

Program: BALLB(Hons.)/BBALLB(Hons.)/BCOMLLB(Hons.)

Date: 25-JAN-2023

Time: 01.00 PM – 04.00 PM

Max Marks: 80

Weightage: 40%

Instructions:

(i) Read the question properly and answer accordingly.

Part A (Memory Recall Questions)

Answer all the Questions. Each question carries TWO marks. (2Mx10Q=20M)

- 1 What is capital market? (C.O.No.4) [Knowledge]
- 2 Describe kinds of securities? (C.O.No.1) [Knowledge]
- 3 Short note on merchant bankers. (C.O.No.3) [Knowledge]
- 4 what do you understand from Money market. (C.O.No.3) [Knowledge]
- 5 What is secondary market? (C.O.No.2) [Knowledge]
- 6 Explain mutual fund. (C.O.No.4) [Knowledge]
- 7 Describe Insider trading. (C.O.No.4) [Knowledge]
- 8 Explain Buy-Back of Securities. (C.O.No.3) [Knowledge]
- 9 Write short note on Venture capital fund. (C.O.No.3) [Knowledge]
- 10 Mention 3 recognized stock exchange in India. (C.O.No.5) [Knowledge]

Part B (Thought Provoking Questions)

Answer all the Questions. Each question carries TEN marks. (4Qx10M=40M)

11. Explain the growth of capital market in India. (C.O.No.1)[Comprehension]
12. "Primary market is of great significance to the economy." Comment. (C.O.No.2)[Comprehension]

13. Discuss various modes of Issuing securities. (C.O.No.3)[Comprehension]

14. Explain role of various regulatory bodies in regulating capital market.

(C.O.No.5)[Comprehension]

Part C (Problem Solving Questions)

Answer all the Questions. Each question carries TWENTY marks. (1Qx20M=20M)

15. "A well functioning securities market is conducive to the sustained economic growth of a country." Comment and discuss in details the objectives, structure and functions of SEBI.

(C.O.No.5)[Application]