

Roll No



**PRESIDENCY UNIVERSITY
BENGALURU**

**SCHOOL OF MANAGEMENT
END TERM EXAMINATION - JUN 2023**

Semester : Semester IV - 2021

Course Code : COM3027

Course Name : Sem IV - COM3027 - Laws for Financial safeguards

Program : BBF

Date : 16-JUN-2023

Time : 1.00PM - 4.00PM

Max Marks : 100

Weightage : 50%

Instructions:

- (i) Read all questions carefully and answer accordingly.
- (ii) Question paper consists of 3 parts.
- (iii) Scientific and non-programmable calculator are permitted.
- (iv) Do not write any information on the question paper other than Roll Number.

PART A

ANSWER ALL THE FOLLOWING QUESTIONS

10 X 2 = 20M

1. What are the primary objectives and functions of the RBI?
(CO3) [Comprehension]
2. What are the main financial regulatory bodies in India?
(CO1) [Knowledge]
3. What is insider trading?
(CO2) [Knowledge]
4. What is the composition of the Reserve Bank of India and how are its members appointed?
(CO3) [Comprehension]
5. How can a company use technology to enhance its financial safeguards?
(CO1) [Knowledge]
6. What is the purpose of the SWIFT Code system ?
(CO2) [Comprehension]
7. What is the purpose of investigating corporate crimes?
(CO2) [Comprehension]
8. Who are the major constitute of Stock Market ?
(CO1) [Knowledge]
9. How are corporate crimes different from individual crimes?
(CO2) [Knowledge]
10. List out objectives of Insolvency and Bankruptcy Code 2016.
(CO2) [Comprehension]

PART B

ANSWER ALL THE FOLLOWING QUESTIONS

4 X 10 = 40M

11. How do fintech companies establish and maintain trust with their customers regarding the safety and security of their financial transactions and explain advantages of fintech companies?
(CO4) [Comprehension]
12. What are the risks or challenges associated with cryptocurrency?
(CO4) [Comprehension]
13. Who is responsible for conducting investigations into corporate crimes?
(CO4) [Comprehension]
14. What are the key financial safeguards that should be implemented by fintech companies to protect the interests of their customers?
(CO4) [Comprehension]

PART C

ANSWER ALL THE FOLLOWING QUESTIONS

2 X 20 = 40M

15. What are the powers and responsibilities of the RBI in maintaining financial stability and managing systemic risks?
(CO4) [Comprehension]
16. What are the future trends and areas of growth for fintech companies in India, and how are they positioning themselves to capitalize on these opportunities?
(CO4) [Application]