

Roll No



**PRESIDENCY UNIVERSITY  
BENGALURU**

**SET A**

**SCHOOL OF LAW  
END TERM EXAMINATION - JAN 2024**

**Semester** : Semester IX - 2019  
**Course Code** : LAW305  
**Course Name** : Securities Law  
**Program** : BBA/B.Com.LL.B Honors

**Date** : 09-JAN-2024  
**Time** : 1:00 PM - 4:00 PM  
**Max Marks** : 100  
**Weightage** : 50%

**Instructions:**

- (i) Read all questions carefully and answer accordingly.
- (ii) Question paper consists of 1 part.
- (iii) Scientific and non-programmable calculator are permitted.
- (iv) Do not write any information on the question paper other than Roll number

**ANSWER ALL THE QUESTIONS**

**10 X 10M = 100M**

1. Write short note on:
  - a) Securities
  - b) Derivatives(CO1) [Comprehension]
2. Critically evaluate the "The Satyam scandal". (CO3) [Application]
3. Using "Harshad Mehta Scam" explain how stockbrokers were able to orchestrate a massive securities fraud using the lacunas in Law. (CO4) [Application]
4. Critically analyse functions and types of Financial Market (CO1) [Comprehension]
5. SEBI is a statutory regulatory body that was established by the Government of India in 1992 for protecting the interests of investors investing in securities along with regulating the securities market" Evaluate the role of SEBI as a regulator of the Indian Stock Market. (CO3) [Application]
6. Discuss different types of capital market investment institutions along with examples. (CO1) [Knowledge]
7. "The Securities and Exchange Board of India Act, 1992 is an act that was enacted for regulation and development of securities market in India" discuss the establishment of SEBI under chapter - 2 of SEBI Act, 1992. (CO3) [Application]

8. 'Ranvijay Balbar wants to list his Company Animal Inc. in the Calcutta Stock Exchange. Imagine you are a lawyer and is asked for Legal opinion for doing the same and also suggest alternate suggestions with reasoning.  
(CO3) [Application]
9. Evaluate different types of capital market investment options available in Capital Market.  
(CO1) [Comprehension]
10. Critically analyse Qualified Institutional Buyers with reference to SEBI (ICDR) Regulations,2018.  
(CO4) [Comprehension]