

Roll No



**PRESIDENCY UNIVERSITY
BENGALURU**

SET B

**SCHOOL OF MANAGEMENT
END TERM EXAMINATION - JAN 2024**

Semester : Semester V - 2021

Course Code : BBA3009

Course Name : Financial Markets and Institutions

Program : BBA

Date : 11-JAN-2024

Time : 1:00 PM - 4:00 PM

Max Marks : 100

Weightage : 50%

Instructions:

- (i) Read all questions carefully and answer accordingly.
- (ii) Question paper consists of 3 parts.
- (iii) Scientific and non-programmable calculator are permitted.
- (iv) Do not write any information on the question paper other than Roll Number.

PART A

ANSWER ALL THE QUESTIONS

5 X 2M = 10M

1. State the full form of MSF. (CO1) [Knowledge]
2. Define certificate of deposits (CO2) [Knowledge]
3. Give the full form of FII (CO3) [Knowledge]
4. Define hybrid funds. (CO4) [Knowledge]
5. Name the agency which controls the stock markets in India. (CO3) [Knowledge]

PART B

ANSWER ALL THE QUESTIONS

5 X 10M = 50M

6. Indian enterprises often secure capital from outside sources as a significant means of financing. These funding sources can be from individual investors, firms, or organisations outside of India. Elaborate the significant difference between FDI and FII. (CO1) [Comprehension]
7. Examine the aims and goals of the insolvency and bankruptcy code. (CO2) [Comprehension]

8. The Insurance Regulatory and Development Authority of India (IRDAI) is the governing body responsible for overseeing and managing the insurance sector in India. Examine the duties, power, and functions of an Insurance regulator.
(CO3) [Comprehension]
9. Investors in India have been approaching the share market with prudence and reluctance, primarily due to the prevalence of numerous myths and misconceptions surrounding it. These misconceptions often deter prospective investors from entering the market, resulting in missed financial opportunities. Explain the major myths attached to the stock market.
(CO4) [Comprehension]
10. Define mutual funds. Elucidate the different types of mutual funds.
(CO3) [Comprehension]

PART C

ANSWER ALL THE QUESTIONS

2 X 20M = 40M

11. An efficient stock market is essential for economic development as it facilitates enterprises in obtaining capital from the public with ease. Stocks are classified as liquid assets due to their high convertibility into cash and the extensive pool of potential buyers available at any given moment.
a. Elucidate the major functions of the stock exchange.
b. Explain the benefits of listing the company with the stock exchange
(CO4) [Application]
12. The central bank is regarded as an apex financial institution and is an integral part of the economic and financial system of a nation. Discuss the major functions of the central bank.
(CO1) [Application]