

Roll No



**PRESIDENCY UNIVERSITY  
BENGALURU**

**SET-B**

**SCHOOL OF COMMERCE  
END TERM EXAMINATION – MAY/JUNE 2024**

**Semester** : Semester VI - 2021  
**Course Code** : COM3005  
**Course Name** : Debt Markets and Mutual Funds  
**Program** : B.Com. Honors

**Date** : May 29, 2024  
**Time** : 09.30am to 12.30pm  
**Max Marks** : 100  
**Weightage** : 50%

**Instructions:**

- (i) Read all questions carefully and answer accordingly.
- (ii) Question paper consists of 3 parts.
- (iii) Scientific and non-programmable calculator are permitted.
- (iv) Do not write any information on the question paper other than Roll Number.

**PART - A**

**ANSWER ANY 5 QUESTIONS**

**5Q X 2M = 10M**

1. Define debt markets. (CO1) [Knowledge]
2. Define the Securities Trading Corporation of India (STCI). (CO2) [Knowledge]
3. Differentiate between equity and debt schemes in mutual funds. (CO3) [Knowledge]
4. Define the term Net Asset Value. (CO4) [Knowledge]
5. Write the meaning of Systematic Withdrawal Plan (CO5) [Knowledge]
6. Define Dividend re-investment plan. (CO5) [Knowledge]
7. Define the Growth option. (CO5) [Knowledge]

**PART - B**

**ANSWER ANY 5 QUESTIONS**

**5Q X 10M = 50M**

8. Describe the primary market and the secondary market in the context of the financial markets and explain the key differences between these two markets in terms of their functions and participants. (CO1) [Comprehension]

9. Describe the regulatory framework in the Indian debt market, including the key regulatory bodies and their roles.  
(CO1) [Comprehension]
10. Explain the structure of mutual funds in India, including the roles of fund managers, trustees, and investors.  
(CO2) [Comprehension]
11. Describe the importance of bond ratings in the fixed-income market and highlighting how they help investors assess credit risk and make informed investment decisions.  
(CO2) [Comprehension]
12. Describe the salient features of Exchange Traded Funds (ETFs) that distinguish them from traditional mutual funds  
(CO3) [Comprehension]
13. Explain in detail the various schemes available in debt mutual funds.  
(CO4) [Comprehension]
14. Describe the characteristics of equity and debt schemes in mutual funds.  
(CO4) [Comprehension]

### **PART - C**

**ANSWER ANY 2 QUESTIONS**

**2Q X 20M = 40M**

15. As a financial analyst working for a multinational corporation planning to invest in Indian debt securities, you are tasked with understanding the regulatory framework in the Indian debt market. Explain the regulatory bodies involved in overseeing the Indian debt market and their roles.  
(CO1) [Application]
16. You are a legal advisor representing a group of investors in a dispute with a mutual fund company regarding their rights and obligations. Explain the rights of investors in mutual funds. Discuss the obligations of investors. Provide recommendations on how investors can protect their rights and fulfill their obligations.  
(CO3) [Application]
17. You are a financial advisor meeting with a client to discuss investment options. Explain the types of mutual funds available, including equity funds, debt funds, and hybrid funds. Assess the suitability of each type of fund based on the client's risk appetite and investment goals, providing examples of funds in each category.  
(CO4) [Application]